



# UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/879,816	06/12/2001	Richard S. Bice	01-40110-US

CONFIRMATION NO. 4295

07066  
REED SMITH LLP  
2500 ONE LIBERTY PLACE  
1650 MARKET STREET  
PHILADELPHIA, PA 19103

## FORMALITIES LETTER



\*OC000000006400190\*

Date Mailed: 08/08/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

10/17/2001 HTECKLU1 00000037 09879816

FILED UNDER 37 CFR 1.53(b)

01 FC:101	740.00 OP
02 FC:103	234.00 OP
03 FC:105	130.00 OP

### Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$234.  
    ■ **\$234 for 13 total claims over 20.**
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 1074.**

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
  - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. ( 5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

*A copy of this notice **MUST** be returned with the reply.*



*Zm*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE



**PATENTS**

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant:	Bice, et al.	:	Examiner:	Not yet assigned
Serial No.:	09/879,816	:	Group Art Unit:	2152
Filing Date:	June 12, 2001	:	Docket No.	01-40110-US
Title:	AUTOMATED MESSAGE HANDLING SYSTEM AND PROCESS	:		

**BOX MISSING PARTS**

Commissioner for Patents  
Washington, DC 20231

**RESPONSE TO NOTICE TO FILE MISSING PARTS**

Sir:

In response to the "Notice to File Missing Parts of Non-Provisional Application" dated August 8, 2001, enclosed herewith is:

1. Copy of the Notice;
2. Combined Declaration and Power of Attorney; and
3. Fee Transmittal Sheet

The Commissioner is hereby authorized to charge any deficiency in the enclosed amount or credit any overpayment to Deposit Account No. 18-0586.

Respectfully submitted,

Dated: October 9, 2001

Carl H. Pierce  
Reg. No. 45,730  
REED SMITH LLP  
2500 One Liberty Place  
1650 Market Street  
Philadelphia, PA 19103-7301  
(215) 241-7970

ReedSmith



Carl H. Pierc • 215 241 7970 • cpierce@reedsmith.com

October 9, 2001

**BOX MISSING PARTS**

Commissioner for Patents  
Washington, D.C. 20231

Re: Serial No.: 09/879,816  
Applicant: Bice, et al.  
Title: AUTOMATED MESSAGE HANDLING  
SYSTEM AND PROCESS  
Filing Date: June 12, 2001  
Docket No.: 01-40110-US

Dear Sir:

Enclosed are the following for filing in connection with the above-referenced application:

1. Response to Notice to File Missing Parts of Non-Provisional Application;
2. Copy of the Notice dated August 8, 2001;
3. Fee Transmittal Sheet;
4. Combined Declaration and Power of Attorney;
5. Check for \$1,104.00 to cover the filing and surcharge fees; and
6. A self-addressed stamped postcard, return of which is requested to acknowledge receipt of the enclosed documents.

**CERTIFICATE OF MAILING**

I hereby certify that this paper, and the papers and/or fees referred to herein as transmitted, submitted or enclosed, are being deposited on the date shown below with the U.S. Postal Service with sufficient postage as first class mail in an envelope addressed to the Commissioner for Patents, Box Missing Parts, Washington, D.C. 20231.

Name Carl H. Pierc

Date of Deposit October 9, 2001

Signature

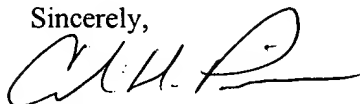
2500 One Liberty Place  
1650 Market Street  
Philadelphia, PA 19103  
215.851.8100  
Fax 215.851.1420

Delaware  
New Jersey  
New York  
Pennsylvania  
Virginia  
Washington, DC

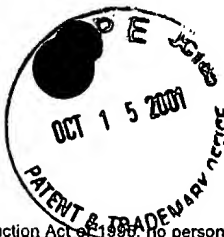
Commissioner for Patents  
October 9, 2001  
Page 2

The Commissioner is hereby authorized to charge any additional fees due, or credit any overpayment to  
Deposit Account No. 18-0586.

Sincerely,

A handwritten signature in black ink, appearing to read 'C.H. Pierce', with a stylized flourish extending to the right.

Carl H. Pierce  
Reg. No. 45,730



Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

PTO/SB/17 (11-00)  
Approved for use through 10/31/2002. OMB 0651-0032

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

# FEE TRANSMITTAL for FY 2001

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT (\$)  
\$1,104.00

## Complete if Known:

Application Number	09/879,816
Filing Date	June 12, 2001
First Named Inventor	Bice et al.
Examiner Name	Not Yet Assigned
Group Art Unit	2152
Attorney Docket No.	01-40110-US

## METHOD OF PAYMENT

1. ☐ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number: 18-0586  
Deposit Account Name: Reed Smith LLP

- ☒ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17  
☐ Applicant claims small entity status. See 37 CFR 1.27

2. ☒ Payment Enclosed:

☒ Check ☐ Credit card ☐ Money Order ☐ Other

## FEE CALCULATION

### 1. BASIC FILING FEE

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
101 710	201 355	Utility filing fee	740
106 320	206 160	Design filing fee	
107 490	207 245	Plant filing fee	
108 710	208 355	Reissue filing fee	
114 150	214 75	Provisional filing fee	

SUBTOTAL (1) (\$)  
740.00

### 2. EXTRA CLAIM FEES

Total Claims: 33  
Independent Claims: 3  
Multiple Dependent Claims: 30  
Extra Claims: 13  
Fee from below: 18  
Fee Paid: 234

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description
103 18	203 9	Claims in excess of 20
102 80	202 40	Independent claims in excess of 3
104 270	204 135	Multiple dependent claim, if not paid
109 80	209 40	** Reissue independent claims over original patent
110 18	210 9	** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$)  
234.00

\*\*or number previously paid, if greater; For Reissues, see above

## FEE CALCULATION (continued)

### 3. ADDITIONAL FEES

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
105 130	205 65	Surcharge - late filing fee or oath	130
127 50	227 25	Surcharge - late provisional filing fee or cover sheet	
139 130	139 130	Non-English specification	
147 2,520	147 2,520	For filing a request for ex parte reexamination	
112 920*	112 920*	Requesting publication of SIR prior to Examiner action	
113 1,840*	113 1,840*	Requesting publication of SIR after Examiner action	
115 110	215 55	Extension for reply within first month	
116 390	216 195	Extension for reply within second month	
117 890	217 445	Extension for reply within third month	
118 1,390	218 695	Extension for reply within fourth month	
128 1,890	228 945	Extension for reply within fifth month	
119 310	219 155	Notice of Appeal	
120 310	220 155	Filing a brief in support of an appeal	
121 270	221 135	Request for oral hearing	
138 1,510	138 1,510	Petition to institute a public use proceeding	
140 110	240 55	Petition to revive - unavoidable	
141 1,240	241 620	Petition to revive - unintentional	
142 1,240	242 620	Utility issue fee (or reissue)	
143 440	243 220	Design issue fee	
144 600	244 300	Plant issue fee	
122 130	122 130	Petitions to the Commissioner	
123 50	123 50	Processing fee under 37 CFR 1.17(q)	
126 180	126 180	Submission of Information Disclosure Stmt	
581 40	581 40	Recording each patent assignment per property (times number of properties)	
146 710	246 355	Filing a submission after final rejection (37 CFR § 1.129(a))	
149 710	249 355	For each additional invention to be examined (37 CFR § 1.129(b))	
179 710	279 355	Request for Continued Examination (RCE)	
169 900	169 900	Request for expedited examination of a design application	

Other fee (specify) \_\_\_\_\_

\*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$)  
130.00

## SUBMITTED BY

Name (Print/Type): Carl H. Pierce

Signature: *CHP*

Registration No. (Attorney/Agent): 45,730

## Complete (if applicable)

Telephone: 215-241-7970

Date: 10/9/01

**WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.**

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.